

07/07/03
14023 U.S. PTO

NO. EL629613607US

PATENT
Cas Docket No. 36856.1101
Date: July 7, 2003

17522 U.S. PTO
10/614412
07/07/03

Mail Stop PATENT APPLICATION
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Takeshi NAKAO, Koji FUJIMOTO, Michio KADOTA and Toshimaro YONEDA
For: SURFACE ACOUSTIC WAVE DEVICE AND MANUFACTURING METHOD THEREFOR

This is a ☐ CONTINUING ☒ DIVISIONAL ☐ CONTINUATION-IN-PART of prior application Serial No. 09/964,831 Filed September 27, 2001

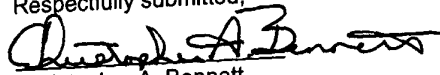
Enclosed are:

- ☐ 40 Pages of Specification
- ☐ 12 Sheet(s) of drawing ☒ formal ☐ informal
- ☒ Copy of Declaration and Power of Attorney from prior application 09/964,831 (for continuing and divisionals).
- ☒ An assignment to Murata Manufacturing Co., Ltd. of 26-10 Tenjin 2-chome, Nagaokakyo-shi, Kyoto-fu 617-8555, JAPAN was filed and recorded in the prior application on February 25, 2002, Reel 012648, Frame 0921.
- ☒ A certified copy of Japanese Patent Application No. 2000-329341 filed October 27, 2000 from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119. were filed in prior application No. 09/964,831
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- ☐ Copy of Revocation of Power of Attorney, Power of Attorney and Statement Under 37 CFR 3.73(b) filed in prior application
- ☐ Change of Correspondence Address
- ☐ Incorporation by Reference - The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated above, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ DELETION OF INVENTOR(S) - Signed statement attached deleting inventor(s) in the prior application.
- ☒ Information Disclosure Statement, Form PTO 1449.
- ☒ Preliminary Amendment
- ☒ General authorization/request to Petition for Extensions of Time
- ☒ Return Postcard

| FOR: | NO. FILED | NO. EXTRA | SMALL ENTITY RATE | SMALL ENTITY FEE | | OTHER THAN SMALL ENTITY RATE | OTHER THAN SMALL ENTITY FEE |
|--------------------------------------------------------|-----------|-----------|-------------------|------------------|----|------------------------------|-----------------------------|
| BASIC FEE | | | X 9 | \$375.00 | OR | X 18 | \$750.00 |
| TOTAL CLAIMS | 5 | -0- | X 40 | \$ | OR | X 84 | \$-0- |
| INDEP CLAIMS | 1 | -0- | X+ 130 | \$ | OR | + 260 | \$-0- |
| <input type="checkbox"/> MULTIPLE DEP CLAIMS PRESENTED | | | TOTAL = | | | TOTAL: | \$750.00 |

- ☐ Please charge my Deposit Account No. 50-1353 the amount of \$
- ☒ Credit Card Payment Form in the amount of **\$750.00** to cover the filing fee is enclosed.
- ☐ Credit Card Payment Form for \$ covering the Assignment Recordation Fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1353.
- ☐ Any additional filing fees required under 37 CFR 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-1353.
- ☐ Any patent application processing fees under 37 CFR 1.17.
- ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- ☐ Any filing fees under CFR 1.16 for presentation or extra claims.

Respectfully submitted,


Christopher A. Bennett
Registration No. 46,710

KEATING & BENNETT LLP
10400 Eaton Place, Suite 312
Fairfax, VA 22030
(703) 385-5200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re application of: Takeshi NAKAO, Koji FUJIMOTO, Michio KADOTA and
Toshimaro YONEDA**

**For: SURFACE ACOUSTIC WAVE DEVICE AND MANUFACTURING METHOD
THEREFOR**

New Divisional Application of Parent Serial No. 09/964,831

Filing Date: September 27, 2001

CERTIFICATE OF MAILING

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:


Date of Deposit: July 7, 2003

Express Mail No. EL629613607US

I hereby certify that an application for patent, including:

40 pages of Specification (which includes 29 claims and a one-page Abstract); 12 Sheets of formal Drawings; a copy of the executed Combined Declaration and Power of Attorney from prior application 09/964,831; a Credit Card Payment Form (PTO-2038) authorizing a charge to my credit card in the amount of \$750.00 to cover the filing fee; a Preliminary Amendment; Transmittal Letter; General Authorization/Request to Petition for Extensions of Time; an Information Disclosure Statement; and Return Postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: July 7, 2003



Sonia V. McVean

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| In re application of: Takeshi NAKAO et al. Divisional Application of Parent Serial No.: 09/964,831 Filing Date: Concurrently herewith For: SURFACE ACOUSTIC WAVE DEVICE AND MANUFACTURING METHOD THEREFOR | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

GENERAL AUTHORIZATION/REQUEST TO PETITION FOR EXTENSIONS OF TIME

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

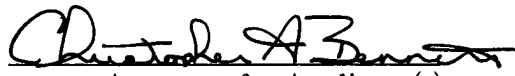
Dear Sir:

With reference to the subject application, and pursuant to 37 C.F.R. § 1.136, Applicants hereby authorize and request the Commissioner to treat any correspondence requiring a petition for extension of time as containing such a request therefor for the appropriate length of time. This general authorization is effective during the pendency of this application, including any division or continuing application therefrom.

Where no check is received by the Commissioner, you are hereby authorized to charge payment of the requisite petition fees, or charge any additional fee required under 37 C.F.R. § 1.17, or credit any overpayment of same, to Deposit Account No. 50-1353. A duplicate copy of this general authorization is enclosed.

Respectfully submitted,

Date: July 7, 2003


Attorneys for Applicant(s)

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